

# Space Policy – What is it and Why is it Needed?

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- Space issues facing the international community
- Why do States decide to develop a space policy and a space agency?
- Space policy development



### Space Issues (A)

- Growth in number of space actors, especially from emerging space States
  - In past decade, spacefaring States increased from 27 to 39
  - Launching states = 8
    - S. Korea will soon make it 9
  - Increases number of possible partners for cooperation
  - Increases opportunity for beneficial international agreements on management of space activities



### Space Issues (B)

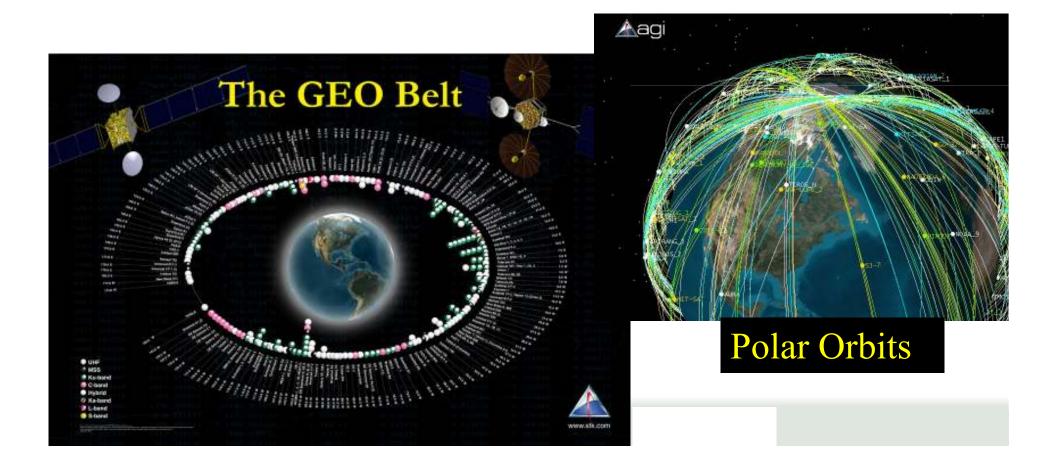
- Rapid expansion of space activities, including space tourism
  - Many more Earth observing systems in low Earth orbit
  - Position, Navigation & Timing (PNT) systems in mid-Earth orbit (MEO)
  - Many more communication satellites in GeoSynchronous Orbit (GSO)
  - Startup space tourism services to near Earth orbit
  - Plans for orbital space tourism

- Increased need to guarantee the sustainability of space activities
  - Increasing crowding in key orbits
  - Increasing amounts of debris in space



### Crowding in Key Orbital Regimes

- Polar orbits (Earth observation satellites)
- Geosynchronous orbits (communications satellites)





### **Debris in Orbit**





### Space Issues (D)

- How to improve use of space resources for the benefit of humanity
  - Major issue: improvement of delivery of public good space benefits to the end user, e.g.,
    - Response to natural disasters
    - Management of natural resources (water, forests)
    - Integration of space data with terrestrially-derived data
- Low level of member states ratifying space treaties
- Need for coordinated international approach to the long-term threat of near Earth objects (NEOs) asteroids, comets



### Why Countries Build Space Capabilities

- Advance technological development, e.g.,
  - Information technologies
  - Communication
  - Health
  - Resource management
- Advance in-country scientific capacity
- Improve use and management of State resources
- Advance industrial capacity & economy
- Gain international prestige as part of the "space club"
- Improve national security

## States Vary Widely in Resources and Secure World Ambitions Ambititorium Ambitions Ambitions Ambitions Ambitions A

- States with large economies and advanced technology:
  - Develop full range of capabilities, including human spaceflight
  - Russia, United States of America, China
- States with medium to relatively large economies:
  - Generally develop wide range of capabilities:
    - Enhance overall scientific and technological prowess
    - provide a range of benefits to their citizens
- States with modest resources:
  - Cannot match investments of larger States
  - Nevertheless can develop an active, but more narrowly focused, space program



### **Space Policy Development**

- For success today, space activities require:
  - Public and private long term funding
  - Clear focus on policy goals
- Investment in space systems largely a governmental activity:
  - The scale of the investment
  - Public goods (improved weather forecasting, natural resource management, national security, etc.)
- Important partners:
  - Private sector
  - Universities, other educational entities
  - Other States



### **Policy Logic Coalitions**

**Promoting Cooperative Solutions for Space Security** 

#### Policy is shaped by coalitions of actors with a stake in the outcome

|        | SCIENCE     | TECHNOLOGY      | COMMERCE      | SECURITY       | AUTONOMY           | FINANCE    |
|--------|-------------|-----------------|---------------|----------------|--------------------|------------|
| ACTORS | Scientists  | Engineers       | Business      | Defense &      | Politicians        | Treasury   |
|        |             |                 |               | Intelligence   |                    |            |
| VALUES | Shared      | Innovation,     | Competitive-  | Stability      | National security, | Fiscal     |
|        | knowledge   | Competitiveness | ness,         | predictability | Prosperity         | discipline |
|        |             |                 | Profit        |                |                    | ,          |
|        |             |                 |               |                |                    | Priorities |
| VIEW   | Object of   | Opportunity to  | A growing     | Space for      | National           | In cost    |
| SPACE  | Scientific  | improve quality | business      | intelligence,  | prestige,          | VS.        |
| AS     | exploration | & technological | opportunity   | Force          | foreign policy     | benefit    |
|        |             | Capability      |               | enhancer       | tool,              | terms      |
|        |             |                 |               |                | Intl negotiating   |            |
|        |             |                 |               |                | power,             |            |
|        |             |                 |               |                | regional           |            |
|        |             |                 |               |                | autonomy           |            |
| FUTURE | Global      | Sharing costs   | Global supply | Situational    | Regional           | Cost       |
| TRENDS | science     | & risks         | chains        | awareness      | cooperation        | sharing    |
|        |             |                 |               |                |                    |            |



## Important to Establish Structured, Focused Space Policy Solutions for Space Security

### A space agency is not sufficient

- A policy (or group of policies) allows government to focus its investments and to shape the evolution of the space arena
- Without a formal policy, activities tend to evolve in an ad hoc manner among different agencies and may lack coherence and long-term sustainability
- A well-structured space policy can assist capacitybuilding and sustainable development
  - Science and technology education
  - Technology development



### **Other Policy Considerations**

- All space activities are ultimately funded by individuals
   but expectations of risks and rewards differ:
  - Public sector: tax payers (many, low individual risk)
  - Private sector: investors (fewer, higher risk)
- Private sector can, and should be, a major partner in executing space policy
- The policy challenge is to establish the "right" level of investment in publicly-funded space activities
  - Has implications for other policies; e.g., remote sensing data distribution policy; telecommunications



### **Government & Industry Issues**

Promoting Cooperative Solutions for Space Security

Avoid ad hoc policy making that results in regulatory and political inconsistencies and creates industry uncertainty

- Ongoing tension between
  - Government promoting certain public good activities for long term societal benefit and
  - Market forces that promote short to medium term investment decisions



### **Government & Industry Issues -2**

- Technology development:
  - Governments are generally not good at picking "winners" and can waste lots of taxpayer funds on dead-end projects
  - Governments can help with funding more basic and generic research
- Public policy must confront issues of:
  - Public safety
  - Resource allocation (e.g. spectrum; comparative investment)
  - Environmental protection (Earth and space)
  - Technology transfer



### **Government Actions to Assist Industry**

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#### REDUCE MARKET RISK

- Targeted R&D programs for key technologies
- Supportive regulatory environment
- Low-interest loans or loan guarantees
- Direct subsidies
- Liability indemnification

#### IMPROVE RETURN ON INVESTMENT

- Tax relief for risky investments
- Patent licensing
- Provision of infrastructure
- Guaranteed government contracts



### **Engaging the Public**

- The public is generally poorly informed about the benefits of space technology and the value of investing in space activities.
  - Important to invest in public awareness programs about the value of space activities to individual and collective welfare
  - E.g., in U.S., focus on human spaceflight reduces appreciation of benefits from Earth observations,
- Space-related non-governmental organizations (NGOs) can play a significant role in building awareness, e.g.,
  - Space Generation Advisory Council (SGAC)
  - Planetary Society
  - World Space Week

## SECURE WORLD

### Importance of International

- Increasing numbers of space actors means greater opportunities for cooperative activities
- Developing partners creates the potential for expanding capacity beyond the capabilities of any one country
- International forums assist capacity building
  - Global Earth Observations System of Systems (GEOSS)
  - Committee on Earth Observation Satellites (CEOS)
  - UN Committee on the Peaceful Uses of Outer Space(COPUOS)
  - UN Office of Outer Space Affairs (OOSA)
  - Scientific unions , professional socieities



### **Sustainability of Space Activities**

- Ability to continue to use space for its benefits not guaranteed
  - Increasing crowding in some key orbits
  - Increasing amounts of orbital debris
  - Use of space weapons threatens space environment
    - Anti-satellite weapons
    - Jamming of satellite signals



### **New Entrants Should Consider**

- Ratifying the 1967 Treaty on Outer Space and the later international agreements
  - Also, incorporating treaty provisions in State law, regulations
- Taking active part in COPUOS and its subcommittees
- Contributing constructively to other international space-related organizations
- Taking an active role in assuring the long term sustainability of outer space.
  - Adherence to the Orbital Debris Guidelines
  - Membership in technical committees focused on sustainability



### **Major 2010 US Policy Changes**

- Tone is less nationalistic than previous one, recognizes that the U.S. depends on other countries for many aspects of space activities
- Emphasis on international cooperation in many areas
- Recognition that the near-Earth space environment is threatened by:
  - Orbital crowding
  - Orbital debris
  - "Wake-up call" from
    - 2007 antisatellite test (creation of debris)
    - 2009 Iridium-Cosmos collision (more debris creation)
- Emphasizes planetary defense from Near Earth Objects



### **Secure World Foundation**

Promoting Cooperative Solutions for Space Security

Secure World Foundation (SWF) is a private operating foundation dedicated to the secure and sustainable use of space for the benefit of Earth and all its peoples.

http://www.swfound.org



### What does the Foundation do?

- Engages with academics, policy makers, scientists and advocates in the space and international affairs communities to support steps that strengthen global space sustainability.
- Promotes the development of cooperative and effective uses of space for the protection of Earth's environment and human security.
- Acts as a research body, convener and facilitator to advocate for key space security and other space related topics and to examine their influence on governance and international development.



### **Key Governance Focus Areas**

Promoting Cooperative Solutions for Space Security

- Space sustainability
  - Protection of continued utility of space resources
- Policy and law development
- Human & environmental security
  - Governance of processes toward disaster assistance
  - Governance of environmental change processes

#### Planetary threats

 Mitigating the threat of collision from a Near-Earth Object (NEO) through the establishment of effective international governance for response



### THANK YOU!

**QUESTIONS?**